

Design for sustainability: case of designing an urban household organic waste management system

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Beyond product design, if the notion of product ‘life-cycle design’ enforces the consideration of requirements from all the lifecycle phases of products, design for sustainability enforces the consideration of life-cycle design in the context of the lifecycles of other products, processes, institutions and their design. Consequently, sustainability requirements that need to be met by design are very diverse. In this article, we portray the nature of design process to address sustainability requirements. This is done taking an example of designing a urban household organic waste management system that requires less water and reclaims the nutrients.

Keywords: Urban waste management, urban sanitation, household organic waste, design for sustainability.

Introduction

SUSTAINABILITY is the ability to meet our needs without compromising that of the future generations to meet their own needs¹. The imperative for sustainable products and technologies arises from the enormous negative consequences that anthropogenic activity has on the present and future habitability of Earth. Sustainability is anthropocentric; meaning sustenance of human development trumps everything else. Sustainability is normative, both, as defined in the original context of humanity being collectively able to develop sustainably¹ and in the context of being a right to development². Motivated by real concerns with measuring development and fundamental issues with human rights, developmental literature since Sen³ has been shifting the paradigm focusing on means rather than on ends by re-defining ‘human development’ as the expansion of individually chosen and valued human capabilities. Entailing this, existing institutions and new ones need to be redesigned and designed respectively focusing on new requirements for human development. Sustaining such human development can be then said to be the agenda of sustainability. The industrial revolution being a significant contributor to crossing plane-

tary boundaries⁴, it becomes important to re-design and design technology to continue to satisfy needs that humans self-determine and value for their sustenance. By re-design or design, design is central to addressing sustainability requirements. These requirements span many disciplines and demand a systemic view of design for sustainability. For demonstrating this the case of designing an urban household organic waste management system is taken.

To prevent or tackle unsustainability, control of systems need to be observed. The earth system comprises of four systems: (i) atmosphere, (ii) bio-sphere, (iii) lithosphere and (iv) hydrosphere. However, at the advent of Anthropocene⁵, to evaluate the impact of industrial revolution and human interference, a new earth system called *Technosphere*⁶ has been proposed. As the observability of the earth system is yet to be established⁷ it is not yet possible to control sustainability at the earth system scale. Nevertheless, in the interim, anthropogenic action, at scales far smaller than earth-scale, pleads coherence with co-existing systems and often takes recourse to biological analogy. The modelling of technical nutrient (artifact systems) along the lines of biological nutrient cycles⁸ is an example of biological analogy seeking to re-design vicious cycles of industry and the economy along virtuous cycles of nature. Virtuosity of these cycles is qualified by features like closing-the-nutrient-loop, equating waste with food, cascading nutrients within multiple lifecycles etc⁸. Replicating and reaping off such cycles is presumed to preserve the means even when scaled up to meet the requirements of an increasing number of people⁹. Consequently, organized on the principle of sustainable development, the three dimensions of sustainability can be argued to be addressed at the scale at which technology and artifact development draws well from biological analogy. In this direction, this paper presents a case of an onsite design intended to close the loop of nutrient that gets generated as part of organic waste in urban Indian households.

Methodology

To assess the trend of demand and supply of water to flush organic waste in an urban waste management

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Table 1. Stakeholder identification across sub-functions

Sub-Function	Functional description	Possible stakeholders
Collection	Collect all types of muck effectively at point A	Manufacturer; users of different genders, abilities and capabilities; cleaner/maintainer/servicing personnel; civic sewerage, water supply, pollution control, and town planning bodies.
Conveyance	Transfer collected muck completely to point B	Manufacturer; private players; householder; apartment association office bearers; civic sewerage, water supply, pollution control, public health, and town planning bodies.
Sanitization	Render muck harmless to humans henceforth	Manufacturer; private players; householder; apartment association office bearers; civic sewerage, water supply, pollution control, public health, and town planning bodies; local residents.
Treatment	Convert safe muck into a form acceptable to Earth (i.e. that which could be taken up by agriculture without despoiling aquifers, water bodies etc.)	Manufacturer; private players; householder; apartment association office bearers; civic sewerage, water supply, pollution control, public health, and town planning bodies; local residents.
Despatch	Conversion into a saleable or portable form and delivery	Agriculturists; horticulturists; farmers; private players; nurseries; householders; public health and safety, pollution control bodies.

system, an extensive review of literature was done. In addition to reviewing available literature, articles that appeared in the popular press were also reviewed. To study this trend, two surveys were conducted in the southern cities in peninsular India – Bangalore, Chennai and Trichy. The first survey was conducted to identify composition of the organic waste and also to estimate the amount of organic waste generated from individual houses in these three cities. However, the second survey was aimed to collect views of people and their requirements that enabled the authors to design a new system, a system to handle household organic waste (HoW). Apart from conducting semi-structured interviews and generating opinion from respondents through a questionnaire, the authors also did an online comprehensive market study (Table 1) on existing sanitation products to understand various features of these products suitable for Indian urban households. Based on these surveys, the system function of managing HoW is decomposed into sub-functions. These are used to guide the identification of possible stakeholders to consult and elicit systemic requirements. Conceptual solutions for each of the functions are generated using ideation methods like technical and biological analogy, synectics and collective brainstorming¹⁰. Using a morphological chart, concept solutions compatible with others across functions have been combined into systemic candidate solutions, and critically evaluated using the weighted-objectives method. The criteria for evaluation are drawn from the list of requirements arrived at from the surveys and their weights in consultation with prospective urban customers before arriving at a solution that meets urban requirements.

Design for sustainability

Design (in particular engineering design) broadly comprises of identifying the problem, its validation, coming up with design concepts, evaluating and selecting one conceptual design, embodying and specifying this design for production. Design is iterative in nature and accom-

modative of requirements and their evolution incrementally as well as disruptively. Both, continuous improvement and radical innovation come under design's purview. Beyond product design, if the notion of product lifecycle design enforces the consideration of requirements from all the lifecycle phases of products, design for sustainability (DfS or D4S) enforces the consideration of lifecycle design in the context of the lifecycles of natural systems and other products, processes, institutions (i.e. artificial systems) and their design. In this regard, this article highlights various aspects of designing an onsite urban Indian HoW management system elaborating what the design process need be across the design stages and what it has been in the example taken.

Problem identification and need validation

Empathizing with and perceiving real human subsistence problems as needs¹¹ rather than imagined problems¹² with systems that do not directly contribute to subsistence is a criterion to validate the importance of needs to be satisfied and hence to be designing for. To solve societal problems, the central idea to develop designs should be based on facts and to match human needs of different strata. However, there is a need to estimate the impact of reactive and pro-active intervention designs. In absence of estimates, the 'precautionary principle'¹³ is applied. For human sustenance, the decision to make interventions depend on factors such as scale and the urgency. While observability and the degree of control that can be exercised into small-scale systems matter to the former factor, the latter factor can over-ride the establishment of the former. Consequently, reactive interventions to re-install human sustenance at local scales can arguably be permissible within the precautionary principle rather than larger scale pro-active interventions whose impact needs to be established with controllable certainty.

Water is a public good and it is the responsibility of the state to supply 150 litres of potable water per individual

at a reasonable cost¹⁴. Popular technology in household toilets uses 20–40 litres per flush of potable water to transport sewage into the septic tank. Both the western commode that has not changed for over 200 years and the Orissa pan, are gravity based and depend on the availability of water to transport sewage. Considering the need to flush the latrines clean after every use, the water requirement goes up by 50–150%. The problem gets compounded as fresh-water supplies become scarce and people continue to use current water-intensive solutions that also pollute potable water indiscriminately. Despite shortage in meeting the demand unaccounted for water supplies, use of prevailing technologies and a growing migratory population generate sewage that is only 30–40% treated at the sewage treatment plants (Figure 1). About 60% of the world's population is urban, and it is expected to double in the coming decades. About 96% of this urban growth is projected to occur in the developing and underdeveloped countries¹⁵. It is estimated that close to 10 million people migrate from rural to urban India every year making this the largest migration of this century¹⁶. Catering to these puts huge pressure on urban infrastructural systems e.g. water supply, sanitation, and sewerage, which must change rapidly¹⁷.

Management of waste in urban India is problem-ridden from collection to disposal. Bangalore generates over 3000 tonnes of solid waste everyday and 70% of this is organic. Drives to segregate organic and inorganic waste at source have been active though their implementation has faced issues from educating households and the collectors. Land-filling and other forms of treatment¹⁸ face problems of acceptance by the locals. Management of sewage and sullage is currently separated from that of kitchen refuse. Where underground drainage system is available, black water is directly emptied into covered sewers. However, due to the seasonal fluctuations in availability of water in cities such as Bangalore, sewers do not get sufficiently drained, resulting in their drying up and clogging¹⁹. The 150 litres of water needed per

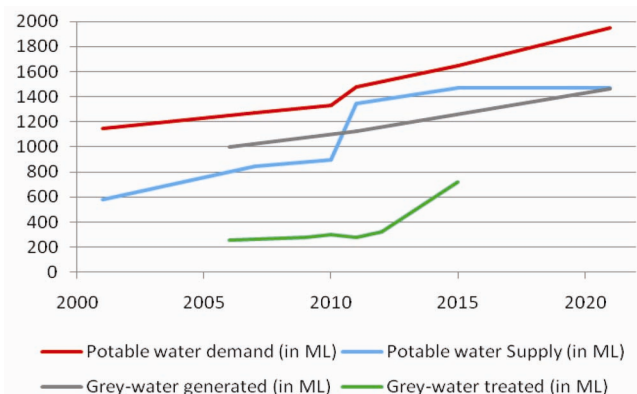


Figure 1. Bangalore's Water and Sanitation situation and projection. (Data courtesy: V. C. Kumar (BWSSB) and Mallick and Vasudevan³².)

individual to flush the waste down to the gravity-based central sewers pose a huge stress on the supplying capacity of Bangalore Water Supply and Sewerage Board's (BWSSB), for three reasons: first, this water is drinkable and can be better used than for flushing toilets; second, the cost of making water available from far-off rivers²⁰ is highly subsidized by the state; and third, 45% of water being supplied is lost in transmission¹⁷. As a result, an increasing amount of groundwater is being used; in many localities agricultural bore wells are used to meet this shortfall, supplying water to residential areas. This has led to a significant loss in groundwater table, with major water shortage in many localities in the summer²¹.

Over 800 sq. km of Bangalore has piped water¹⁷ supplying an estimated 150 litres per person, even though about 475 sq. km has no sewerage system²². Peri-urban and some urban households deal with this by constructing unlined storage tanks inside or around their plots. The tanks store black water and are emptied periodically by private mobile sanitation tanks that take the waste and dispose it off, without any treatment, to farmlands of consenting farmers. The practice of honey-suckers operating outside of law and legislation in Bangalore is exemplary in this regard²². The untreated toilet waste is harmful to agricultural labourers who come in direct contact with the waste or to consumers of agricultural produce soiled by the waste. The World Health Organization (WHO) recommends a standing time of at least a year for faecal sludge as the typical time required for the pathogen proportion to be reduced to tolerable limits before it could be used for agricultural uptake²³. Human excreta is a rich source of nutrient for plants and according to an estimate, the amount of food grain necessary for sustaining an individual can be grown from a crop fertilized by the nutrient from that individual's waste²⁴. Areas assigned by the Bruhat Bangalore Mahanagara Palike (BBMP) and BWSSB for disposal do not attract private players, as they levy a fee and are better off disposing waste in the lands of consenting farmers. These observations make a strong case for resolving the issue of irresponsible disposal of toilet waste, ensuring least impact on natural resources and the amount of water used. This paper proposes the design of a sustainable system for handling HoW, while taking into account the needs of all its stakeholders.

This calls for redesign of the system for managing HoW that eliminates the use of potable water for transporting waste and minimizes the use of grey water in transportation taking into account culturally sensitive requirements. The final design is meant to be a domestic appliance supported by existing infrastructure or with minimal changes to it.

Identifying stakeholders and their requirements

To understand the views of urbanites a survey was conducted among urban households aimed at assessing water

usage, gauging customer demands and wishes of a new product/system such as a waterless toilet and obtaining a quantitative understanding of critical constraints such as space, time and investment. The households belonged to middle and upper middle classes in Bangalore, Chennai, and Trichy. Further aspects of popular rural dry toilets under the name EcoSan were briefed to the urban residents to understand their perception of a dry composting toilet for the urban households. Ecological Sanitation (EcoSan) is an approach striving to 'close-the-loop' in offering safe solutions that promote health by treating pathogens and retrieving nutrients from black water to put them back to the Earth for safe uptake by agriculture and horticulture. The findings from the surveys are listed below:

- (a) 90% of the respondents wanted to use the new toilet system.
- (b) 40% of men do not prefer to sit to urinate. (as in western commode).
- (c) People living in apartments preferred the treatment system to be underground or in the basement; while those living in independent houses preferred it to be underground or in their backyard.
- (d) Even if the sewage is treated safe, few respondents were willing to store or treat it in-house. Respondents emphasized on the safety of treated waste to be used as compost. They were of the view that the compost should be hygienic, without any smell and safe to handle.
- (e) Respondents were willing to spend (INR 3,000 to INR 10,000) for the new toilet system, and preferred the system to run on little or no electricity.
- (f) Agricultural labourers spent 80% of their monthly income (INR 1250) to build a dry toilet.
- (g) The elderly preferred a portable toilet.
- (h) The system should preferably be easy to clean once every six months.
- (i) System should be easy to operate, with minimum maintenance, and should be able to automatically handle and discharge waste.

The above stakeholder requirements were interpreted as the following system/technical requirements:

- (a) Pathogens should be rendered harmless to humans and handle ablution water. To make the treated waste odourless, gases like H_2S , Indoles, Mercaptans should be removed.
- (b) Waste generated should be transported, first out of sight, and second to the treatment centres, with minimum user involvement.
- (c) Solid waste should not be visible after flushing (by whichever means the new system achieves this). Moving parts should be avoided as much as possible to reduce maintenance.
- (d) Toilet-pans should be accessible to people unable or unwilling to sit for urinating.
- (e) Menstrual waste should be handled by the same toilet pan.

An extensive online market survey was conducted and 25 products in the sanitation market are identified to understand how they meet different customer requirements technically. This survey also provided the basis to decompose the system into functions which further guided stakeholder identification (Table 1).

The following stakeholder requirements were identified, some of which were raised by the stakeholders surveyed and interviewed, while the others were perceived by the authors based on prior experiences.

Users of different genders demanded that the system handle menstrual waste at the collection stage as well as the existing system does. As mentioned earlier, men preferred not to sit while urinating. Unless using an Indian commode or what is called the Orissa Pan, children below three feet height cannot use the western commode, resulting in them urinating in the bathwater sewers or open drains. These requirements from men and the children indicated the need for the urinal to be usable by both kinds of users. This seems to indicate a con-contact solution, with the added advantage of higher hygiene. A further benefit of this feature is aiding the elderly and women during the collection stage. This potentially reduces the amount of water required to flush as the feature targets collection with less splatter. However, a significant amount of stigma was observed against changing the existing system of collection and storage in spite of the respondents accepting these being water intensive and needing replacement.

Manufacturers, as stakeholders, included those manufacturing any aspects of the system end-to-end. For example, within the existing system, they included manufacturers of pans, commodes, and flushing tanks at the collection stage, and manufacturers of plumbing, storage, and holding tanks at the storage/treatment stage. Apart from timely surface cleansing, the ceramic ware of existing commodes do not generally require service within their lifetime though plumbing may need maintenance 2–3 times in the lifetime of the commode. As the plumbing work is separated from the 'pans' and 'western commodes', this maintenance is performed by plumbers (and in their absence, by masons) who are locally available. The current practice is to follow masonry procedures similar to that for construction of water retention tanks. This means that problems of seepage to the water-table might occur. Besides, installation of the pans/commodes currently carried out by masons whose availability and skill levels are reportedly on decline²⁵.

The private players included entrepreneurs who made the concrete rings required for building septic tanks or storage tanks, the architects and civil contractors who designed and built tanks onsite or on adjacent public spaces, those who privately made the PVC plumbing and holding tanks, particularly for holding toilet waste, and those who periodically collected the faecal sludge from these tanks and transferred these to farmlands or composting sites.

The primary phase occupies less space; it is situated above ground level and over the secondary phase of partial co-digestion lying underneath. The mixture of faeces and kitchen garbage requires an equal amount of water for the bacteria to digest properly, which would be provided by the ablation water and urine during defecation. The primary digester has a feature to centrally facilitate addition of neutralizers and bacterial potions that remove stench and enhance co-digestion. A neutralizer (generally cow dung) of 10% by weight would be added to neutralize the shock to which the bacteria may be subjected in case the composition of feed material varied significantly. Addition of new waste can continuously take place over a period of 30 days. The difference in the densities of old and new sludge ensures that the older material floats up. A tank, therefore, is positioned beside the digester to collect the overflow of 5 to 6 litres a day for a pre-specified number of days (depending on the space available onsite) as needed. The primary digester, secondary digester, and the annular volume in between ensure sufficient retention time for the generated waste to be partially safe by the time it comes out and collects in the holding tank. This faecal sludge can be sucked out by licensed private players or the BBMP personnel, wherefrom it should be rendered completely safe. Similar to the model of subsidy provided for adopting EcoSan in rural areas by some state governments, the cost of this system requires fillips for adoption²⁹. The responsibility and the costs of treatment would then be divided between the two major stakeholders, that is, the waste generators (households) and government, intent on preventing diseases and promoting public health. It is observed that the workforce of the private players submerge the hose in the septic tanks to suck out the faecal sludge. This leads to workforce coming in contact with faecal sludge between submersion and retraction of hose. This leads to inhumane working conditions and is prohibited by law. A fixed spout with one end submerged into the digest storage at the deepest point of the septic tank-converted-to-collection tank and the other end outside the compound wall of the household plot ensures that the personnel collecting the partially digested waste need not come in contact with faecal sludge.

The total organic waste per day per family of five is about 8.5 kg comprising the following: Kitchen waste = 300 g (from survey of households in Chennai and Bangalore); faecal matter per individual = 300 g (i.e. for five = 1500 g); ablation water per individual = 1000 ml or 1000 g (for five = 5000 g); and urine per toilet use = 300 ml (assuming one use at work, school, etc. i.e. for five = 1500 ml). Further, 8.5 kg or litres of such waste would give 1 m³ of bio-gas. This contains about 600 litres of combustible methane, with a calorific value of 23 MJ. With a thermal efficiency of 65% for stoves in general, 0.5 m³ of biogas is sufficient for cooking on a single burner stove for 1 h (tapped energy) providing about 12 MJ. This is sufficient for cooking for three people for

a month. Based on the amount of space available onsite, the digester volumes co-digest waste generating methane gas over a cycle time of about 30 days, beyond which the partially digested sludge is emptied into the holding tank where it is retained for more days before collection by the authorities. The fully digested waste would be a rich soil conditioner containing N, P, and K, and is proven to produce a good harvest of e.g. harmless banana crop³⁰.

Discussion

The past and the present governments of India have re-emphasized the need for sanitation leading to awareness campaigns urging the development of individual and shared community latrines. The *Swacch Bharat Abhiyan* of the present government has taken this commitment further involving the Indian corporate organizations' institutionalizing their CSR activity to utilize 2% of their profits for developing sanitation facilities. Positively, this results in new incentives for innovations in collecting, transporting, storing and treating faecal matter in ways other than existing problematic ways.

Ecological sanitation, as a concept, needs to expand to include more ecological concepts to reap the rich understanding of interactions between not just plant and animal activity as it is now but also animal-animal interactions as is also the proper domain of ecology. Such expansion can potentially lead to exploring interconnected paths and hence alternative ways of closing the loop. For example, in the conventional definition of Eco-san the agricultural or horticultural uptake of nutrient in human waste so as to convert it back into food for humans themselves closes the loop involving humans as the generator and consumer of the waste and waste-converted-to-food respectively. However, expanding the EcoSan definition in the ecological domain can mean using cows as digesters of kitchen waste due to which the organic waste which gets converted to dung becomes more convenient to handle within the anaerobic digester as its pH is more stable than that of the kitchen waste directly dumped into the digester. Similarly, exposing the faecal matter to larvae that can voraciously feed on nutrient and the pathogens together sanitizes the sludge using the guts of the larvae. The larvae can be further used as chicken or fish feed to route back into the human food chain, thereby closing the loop in a more interconnected way. Another possible way is to culture bacteriophages that can mutilate pathogens inside out and sanitize the muck by handling the viruses in a manner that is more convenient than killing pathogens. Consequently, implications of these larger interconnections need to be researched and sensitive matters related to ethics and animal rights need to be dealt with based on a case-based evaluation of risks, costs and urgency.

The necessity to have 24 × 7 water supply in urban areas requires the transmission to be continuously monitored so as to cull down losses that currently result in

45% loss by volume. Water is a public good connecting many vital nutrient and ecological cycles. Consequently the role of the state is divided into catering to the immediate per capita water needs equitably in the short-term and sustaining water supply sources like lakes, rivulets, aquifers, rain-water, watersheds, etc. in a holistic manner for the long-term. Business models need to evolve around the circular thinking resisting the inertia to adhere to convenient linear thinking models. Addressing the challenge of adoptability in the urban scenario may require creating aspirational product classes that integrate necessity with aspirations while considering the affordability of different strata of urban populace.

The ability to act on the world is predicated on human ability to perceive boundaries that positively identify what the system is, and hence negatively (as a Gestalt) identify its environment too. However, such perceptions of boundaries need to be positively established to be real. A first step towards sustaining systems of the required scale could be to keep expanding the boundaries of smaller observable systems to internalize more and more hitherto externalities, which various stakeholders demand to be included, without compromising on control to steer the course of the new expanding system. However before getting to involve stakeholders, it is necessary to know who all are at stake and identify them. Stakeholder identification is generally left to the body interested to go forth with the intervention rather than consider options for suspending it. Organizations, institutions and industries that need to report their environmental, societal or sustainability performance are some examples. Being able to identify interactions and their nature is essential to identifying who or what can possibly be a stakeholder. Considering that every intervention proposes to modify or construct reality and that nutrient cycles of real earth systems are vital to life, the design cycle needs to be perceived to be situated amidst natural cycles within a model of interaction³¹ as that which changes the course of naturally occurring nutrient to the advantage of humans thereby affecting nutrient availability to life-supporting natural courses. Men, women, elderly people, residents of apartments and independent homes, civic authorities (Trichy), NGO's practicing Eco-san, researchers in Eco-san and farmers have been heard. Though a majority of the above stated stakeholders matter to the purchase and use phase of the intended system design for the household the other stakeholders provided inputs to the larger systemic context in which the proposed design will be situated. The other stakeholders identified in Table 1 could not be consulted within the time available.

Conclusion

Systemic requirements while designing for sustainability are demonstrated taking the case of designing an urban HoW management system. A major, unique feature of the

proposed system is that it divides the responsibility of managing toilet waste amongst the major stakeholders, that is, the generators and the civic bodies interested in public health. The importance of inclusive handling of HoW at source is a major motivation behind the project. The solution proposes co-digestion of kitchen and toilet waste using urine and ablution water as ablution is culturally ingrained and cannot be done away with and urine is a source of nitrogen required to maintain the C : N ratio of digestion within desirable limits. However, a urine-diverting pan is still provided as a feature, to aid children and the infirm during collection. There is a perceived requirement for a pan which further cuts down the water required for cleaning the pan after each use. Also, the products of digestion need to be piped or packaged separately, as the stigma around it remains strong even in rural areas. The associated stench while burning the biogas requires the stove to be in the open and this considerably brings down the efficiency of the stove. Hence, solutions for on-site storage, utilizing the gas generated for heating water or direct sale need to be considered. Further, social, environmental and economic impact assessments need to be carried out in more detail for informing changes to the design before implementing it widely.

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